



Data Security and Protection Toolkit (DSPT)

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BJM IG Privacy
ICO Award for Excellence in Data
Protection 2020

DSPT – Completion and Submission

New DSPT published.

2022/23



Baseline

Category 1 Organisations' to submit their baseline. Now includes ICBs.

Any outstanding actions that need to be actioned.

Review



Audit

Internal Audit Report. Recommendations. Final Actions. Sign off.

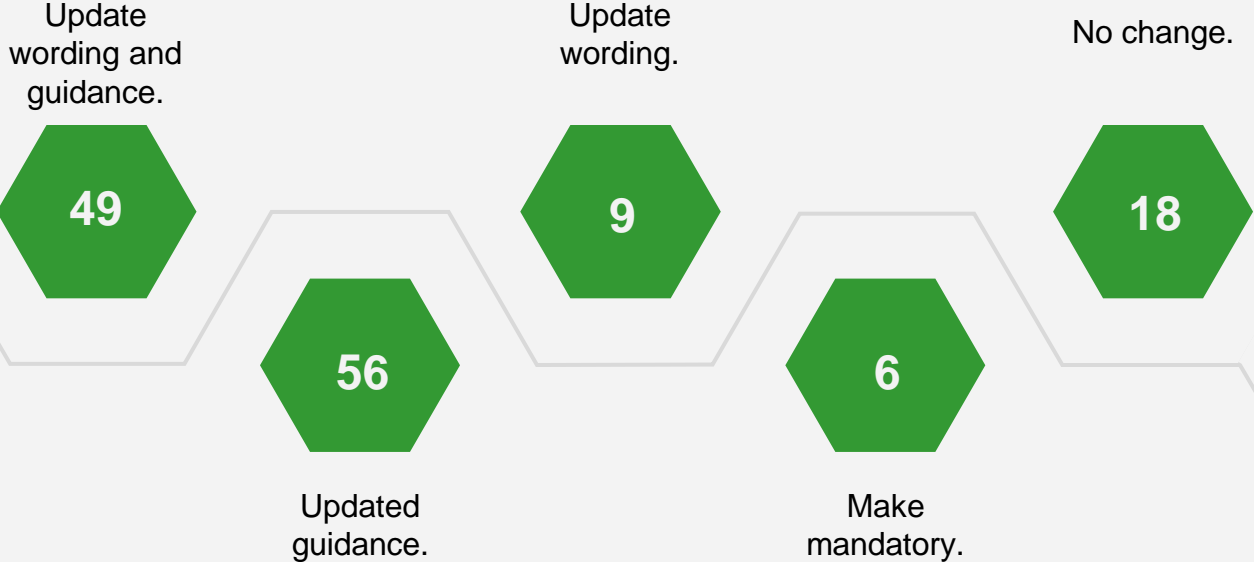
Before 30th June

Submission



Changes to DPST 2022/23

Assertion Changes



1 New Assertion – 11 Removed – 1 Change from Yes/NO

Published in August 2022

- **CCG submissions now ICB and added to Category 1**
- **Baseline submission February 2023**
- **Final Submission 30 June 2023**
- **Standards Met**
- **Requires Improvement**
- **Standards Not Met**

Support

DSPT Independent Assurance and Audit 2022-23 (Updated 29 September 2022)

Guidance for all NHS Trusts, ICBs, CSUs and DHSC Arms Length Bodies to have a DSPT Audit to the required mandatory scope and framework methodology.

13 Assertions for audit:

1.3, 2.1, 3.4, 4.1, 4.2, 4.5, 5.1, 6.3, 7.2, 7.3, 8.3, 9.3, 10.1

Completing the DSPT

- It is not just the IG Team's responsibility.
- Allocate assertions to individuals i.e. HR or IT.
- Have them report at IG Steering Group or submit a monthly report on progress.
- Have support from your SIRO to ensure reports are submitted.
- Plan to complete the DSPT by end of April at the latest. (shhh it gives time for any slippages!)
- Make sure IT has a plan for Cyber Essentials to be completed in time for submission.
- Complete any actions from the Auditors.

GUIDANCE

Big Picture Guides (Updated 29 September 2022)

Big Picture Guides updated to reflect DSPT 2022-23 (version 5) standard.

Data Security and Protection Toolkit assessment guides - NHS Digital

1 - Personal confidential data
All staff ensure that personal confidential data is handled, stored and transmitted securely, whether in electronic or paper form.
[Read guide 1](#)

2 - Staff responsibilities
All staff must understand their responsibilities under the National Data Guardian's Data Security Standards.
[Read guide 2](#)

3 - Staff training
All staff complete should appropriate annual data security training and pass a mandatory test, provided linked to the revised Information Governance Toolkit.
[Read guide 3](#)

4 - Managing data access
Personal confidential data should only be accessible to staff who need it for their current role and access is removed as soon as it is no longer required.
[Read guide 4](#)

Toolkit webinar slides (Updated 29 September 2022)

Including video and presentation slides from recent Webinar sessions on the 8th, 21st and 29th September on the DPO Webinar and video of the webinar on the 23rd September 2022.

News (dsptoolkit.nhs.uk)

DSP Toolkit webinar – 20220505
NHS Digital

21-22 DSP Toolkit – Key Changes

Incorporate IG Simplification NHS X IG Team advice on removing duplication, aligning with updated guidance	ICO Data Protection Toolkit has been aligned to the ICO Data Protection Self-Assessment	Feedback Review DSPT evidence items reviewed and updated based on feedback, support calls and comments from stakeholders. Evidence items reviewed.	Connected Medical Devices Include new requirements for Connected Medical Devices
CSU Technical Requirements Additional technical requirements reflecting the key role CSU play	Technical requirements strengthened Specific Improvements to requirements on logging, assets, unsupported systems, patching	Extra non-Mandatory requirements Connected Medical devices, Support Systems, patching .	Status Change Standards not fully met (plan agreed) changed to Approaching Standards.

COMMENT

[News \(dsptoolkit.nhs.uk\)](https://www.dsptoolkit.nhs.uk)

**DSPT IS A
DRIVER FOR
CHANGE IN YOUR
ORGANISATION.**

**THE DSPT
MUST NOT BE
A TICK-BOX
EXERCISE.**

INDEPENDENT AUDIT

	1	2	3	4	5	6	7	8
A	Green	Green	Green	Green	Green	Yellow	Yellow	Red
B	Green	Yellow	Yellow	Red	Red	Red	Red	Red
C	Green	Green	Green	Green	Green	Yellow	Yellow	Red
D	Yellow	Yellow	Yellow	Red	Red	Red	Red	Red
E	Green	Green	Green	Green	Green	Yellow	Yellow	Red
F	Green	Green	Yellow	Yellow	Yellow	Yellow	Yellow	Red
Fully Met	Green							
Partially Met	Yellow							
Not Met	Red							
NA	Blue							

Each of these organisations had the status 'DSPT Standard Met' and had been audited.

NEWS

26 January 2023

- Changes to enable a new simpler assessment page for the social care sector to be 'turned on' shortly.
- Changes to enable the baseline functionality to be turned on for applicable organisations shortly.
- Improvements to support functionality.
- Certificate information was added to publication emails and confirmation emails.
- Other minor bug fixes and enhancements.

NEWS

Data Security and Protection Toolkit 22-23 NHS Trusts CCGs, CSUs and ALBs

Webinars will be run on the following dates:

- **Tuesday 28th February 2023 12.30-13.30**
- **Tuesday 28th March 2023 12.30-13.30**
- **Tuesday 25th April 2023 12.30-13.30**
- **Tuesday 30th May 2023 12.30-13.30**
- **Tuesday 27th June 2023 12.30-13.30**

The webinars will be hosted on **MS TEAMS**. You're welcome to join using the below instructions:

Meeting ID: 377 276 438 822

Passcode: mVtAYc

For the link to the webinar, please send an email to info@dataprivacyadvisory.com.



THANKS FOR ATTENDING

Does anyone have any questions?

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